a)

Bri St

Attorney Docket No. DANHSU.001C1

Date: October 10, 2001

Page 1



#### ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

ATTENTION: BOX PATENT APPLICATION

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Daniel K. Hsu, Fu-Tong Liu, Christopher Dowling

For: GALECTIN EXPRESSION IS INDUCED IN CIRRHOTIC LIVER AND HEPATOCELLULAR CARCINOMA

Enclosed are:

- (X) 2 sheet(s) of drawing.
- (X) Sequence Listing in 14 pages.
- (X) Sequence Submission Statement.
- (X) Sequence Listing in Computer Readable Format on 1 disk.
- (X) Return prepaid postcard.
- (X) This application is a continuation of international application number PCT/US00/08561, and claims the benefit of priority of international application number PCT/US00/08561 having international filing date of March 29, 2000, designating the United States of America and published in English, which claims the benefit of priority of U.S. provisional patent application no. 60/129,111, filed April 13, 1999; both of which are hereby expressly incorporated by reference in their entireties.
- (X) Incorporation by Reference. The entire disclosure of the prior applications are considered as being part of the disclosure of the accompanying application and are hereby incorporated by reference therein.

The present application qualifies for small entity status under 37 C.F.R. § 1.27. The fees are calculated below:

CLAIMS AS FILED				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
Basic Fee			\$370	\$370
Total Claims	33 - 20 =	13 ×	\$9	\$117
Independent Claims	8 - 3 =	5 ×	\$42	\$210
If application contains any multiple dependent claims(s), then add			\$140	\$140

TOTAL FILING

Attorney Docket No. DANHSU.001C1 Date: October 10, 2001

Page 2

- (X) A check in the amount of \$837 to cover the filing fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.
- (X) Please use Customer No. 20,995 for the correspondence address.

Eric S. Furman, P.D. Registration No. 45,664 Attorney of Record

S:\DOCS\ESF\ESF-4318.DOC:sad 101001

Case Docket No. DANHSU.001C1

Date: October 10, 2001

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Hsu, et al.

Appl. No.

Unknown

Filed

Herewith

For

GALECTIN EXPRESSION

IS INDUCED IN

CIRRHOTIC LIVER AND

**HEPATOCELLLAR** 

**CARCINOMA** 

Examiner

Unknown

### **SEQUENCE SUBMISSION STATEMENT**

# ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Dear Sir:

A copy of the Sequence Listing in computer readable form as required by 37 C.F.R. §1.821(e) is submitted herewith.

As required by 37 C.F.R. §1821(f), I hereby declare that the information recorded on the enclosed disk is identical to the printed Sequence Listing in the application filed herewith. Accordingly, no new matter has been introduced.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR

Date: 10/10/200/

Eric S. Furman, Ph.D.

Registration No. 45,664

Attorney of Record

620 Newport Center Drive

Sixteenth Floor

Newport Beach, CA 92660

(619) 235-8550

S:\DOCS\ESF\ESF-4319.DOC:sad\100901

## **Knobbe Martens Olson & Bear LLP**

Intellectual Property Law

550 West C Street Suite 1200 San Diego CA 92101 Tel 619-235-8550 Fax 619-235-0176 www.kmob.com

Eric S. Furman, Ph.D.

Assistant Commissioner for Patents Washington, D.C. 20231 BOX PATENT APPLICATION

### CERTIFICATE OF MAILING BY "EXPRESS MAIL"

:

Attorney Docket No. :

DANHSU.001C1

Applicant(s)

Hsu, et al.

For

GALECTIN EXPRESSION IS INDUCED IN

CIRRHOTIC LIVER AND

HEPATOCELLULAR CARCINOMA

**Attorney** 

: Eric S. Furman, Ph.D.

"Express Mail"

Mailing Label No.

EL 842761574

**Date of Deposit** 

October 10, 2001

I hereby certify that the accompanying

Transmittal letter; Specification in 45 pages; 2 sheets of drawings; Sequence Listing in 14 pages; Sequence Submission Statement in 1 page; Sequence Listing in Computer Readable Format on 1 disk; Check(s) for Filing Fee(s); Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, D.G. 20231.

Carol Macarty

S:\DOCS\ESF\ESF-4321.DOC:sad1 100901

> Newport Beach 949-760-0404

San Francisco 415-954-4114

Los Angeles 310-551-3450 Riverside 909-781-9231